

## Report to Trust Board

Date: 21 September 2011

Agenda item no 8.4

Title: **Monthly Board Self-Certification Return**

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Responsible Director: Don Richards, Interim Director of Finance & Performance

Purpose: ~~Strategy/ Decision / Ratification/ Assurance/Information~~  
*Delete as appropriate*

Action required:

1. Review the reported performance for August 2011
2. Agree that Declaration 2 be signed in respect of June performance and submit to the Strategic Health Authority by the end of the month

### Executive Summary:

The SHA Provider Management Regime return comprises the Governance Declaration, Governance Risk Rating, Financial Risk Rating, Financial Risk Triggers, Contractual Risk Rating, Quality indicators and an additional Board declaration.

The attached return details performance against the SHA's indicator set for August 2011.

- Three governance indicators were not achieved in August:
  1. 62 day Cancer wait breach
  2. A&E indicators – failed two areas out of five
  3. CQC concerns – the CQC currently has a moderate concern about diet and nutrition related to healthcare provision.

The position recorded therefore results in a score of 2.50 and an Amber-Red rating.

- The Trust reported an income and expenditure deficit of £1.0m, in line with the plan for the period to the end of August 2011. The Trust achieved an overall financial risk score of 3, which is an improvement over July's position, as a result of an improvement in the I&E surplus margin (I&E position as a percentage of turnover).
- Three risk indicators are reported as Red on the Financial Risk Trigger return this

month:

- The Trust reported an Amber rating for Contractual Risks. NHS Bedfordshire issued 5 Performance notices in August in respect of performance to the end of Quarter 1, in respect of the following areas:
  1. VTE – below national target of 90% for Q1 (89.27%)
  2. Diagnostics breaches - 94 breaches in Endoscopy
  3. Cancer 2WW – below national target of 93% in April (90.27%)
  4. MRSA emergency screening – below national target of 100% in Q1 (86.58% in April rising to 96.27% in June)
  5. Mixed sex accommodation breaches – three breaches in Endoscopy in June.

A response has been sent to NHS Bedfordshire recording improvements in all of these areas.

Given this level of performance, it is requested that Declaration 2 be signed.

The Quality return looks at in-month performance against 16 quality indicators, the majority of which are reported through the Performance and Quality Board reports. Please note that these indicators are not RAG rated on this return and are not referred to in the Governance declaration.

The final page of the return is a list of statements. For each statement, the Board is asked to confirm compliance or otherwise. Statement 10 relates to achievement of level 2 against the requirements of the Department of Health's Information Governance Toolkit. BHT has not achieved this currently.

Relevant CQC standard/ NHS Constitution pledge	Pledge 6 We will spend tax payers' money carefully. Essential standard 14 Assessing and monitoring the quality of service provision
Link to strategy/plans	Good financial performance, delivery of high quality services and management of risk are elements of the Trust's Integrated Business Plan and objectives for the year.
Impact assessment:	
- quality	Governance risk ratings indicate quality through measurement of service performance
- financial/business	Financial risk ratings demonstrate monthly financial performance and risks to achieving plan
- equality/diversity	N/A
- risk	The ratings templates and Board declaration provide evidence of risk being managed

- legal/statutory      SHA requires monthly returns as part of Provider Management regime

- sustainability      N/A

Previous consultation /  
decision / discussion      Quarterly returns made in 2007/08; monthly submission made in 2008/09, 2009/10 and 2010/11; monthly monitoring continuing in 2011/12

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