

## Report to Trust Board

Date: 30 March 2011

Agenda item no 8.4

Title: **Monthly Board Self-Certification Return**

Author: Alan Warren, Director of Finance & Performance

Responsible Director: Alan Warren, Director of Finance & Performance

Purpose: ~~Strategy/ Decision / Ratification/ Assurance/Information~~  
*Delete as appropriate*

Action required:

1. Review the reported performance for February 2011
2. Agree that Declaration 1 be signed in respect of February performance and submit to the Strategic Health Authority by the end of the month

### Executive Summary:

The attached Governance Declarations and Financial and Governance Risk Rating returns detail performance against the SHA's indicator set for February 2011.

The continuing in-year financial deficit reported for February 2011 has resulted in a second month where the Financial Risk Rating is 2, a Red rating. There were also 4 additional Monitor 'early warning' indicators to alert organisations to potential material financial risk in the future. These were assigned an Amber rating.

The outturn forecast of break-even which it is anticipated will be achieved assuming agreement on end-of-year actions with NHS Bedfordshire will result in a rating of 3, which is Amber and acceptable to the SHA/Monitor.

The only governance indicator which was not achieved in January is that relating to the screening of elective patients for MRSA, where two patients were identified as not having been screened. This figure has yet to be verified. The position recorded therefore results in a score of 0.5 and a Green rating.

Given this level of performance and the plans to achieve an acceptable outturn, it is requested that Declaration 1 be signed.

Relevant CQC  
standard/  
NHS Constitution  
pledge

Pledge 6 We will spend tax payers' money carefully.  
Essential standard 14 Assessing and monitoring the quality of  
service provision

Link to strategy/plans	Good financial performance, delivery of high quality services and management of risk are elements of the Trust's Integrated Business Plan and objectives for the year.
Impact assessment:	
- quality	Governance risk ratings indicate quality through measurement of service performance
- financial/business	Financial risk ratings demonstrate monthly financial performance and risks to achieving plan
- equality/diversity	N/A
- risk	The ratings templates and Board declaration provide evidence of risk being managed
- legal/statutory	SHA requires monthly returns as part of Provider Management regime
- sustainability	N/A
Previous consultation / decision / discussion	Quarterly returns made in 2007/08; monthly submission made in 2008/09 and 2009/10; monthly monitoring continuing in 2010/11

23 March 2011