

Report to Trust Board

Date 28 September 2011

Agenda item no 8.2

Title	Governance and Risk Strategy and Policy
Author	Deputy Director of Clinical Governance
Responsible Director	Director of Nursing and Patient Services
Purpose	For approval

Executive Summary

This strategy sets out the Trust's philosophy and aims for managing risks to patients, staff, visitors, contractors and to service quality the structure of the trusts governance arrangements and the processes and responsibilities for risk management. the organisational and individual responsibilities; organisational arrangements for risk management and the systems and processes defined in the policy.

The Trust is committed to an integrated approach to governance and the management of all risks in all its services, including clinical, organisational and financial risks.

The risk management framework described in the strategy is designed to encourage and support continuous risk assessment, awareness of exposure to risk and to empower the Trust to manage risks.

Relevant CQC standard/ NHS Constitution pledge	Relevant to most outcomes, especially Outcome 16 - Assessing and Monitoring quality of service
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Link to strategy/plans	Risk management and governance are key to the long term strategy of the trust.
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Impact assessment:

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| - quality | Focuses on quality and service delivery : monitoring and the provision of assurance. |
| - financial/business | Sets out how financial risks are identified and monitored |
| - equality/diversity | Supports the trust to meet its requirements. |
| - risk | Defines how the trust will assess, manage, mitigate and monitor risk. |
| - legal/statutory | This strategy is a key document for the NHS Litigation Authority, CQC and Monitor. |
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| - sustainability | None |

Previous consultation/decision/discussion/	Review of the Quality committees reporting structure and establishment of the Quality Board : March 2011 and Annual review of the Governance and Risk Strategy January 2010
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Changes since last version:

The changes incorporated since the last review have been made to address :

- In year changes to the executive roles
- Updated text with reference to the new service line structure of business units and amended organisational structure diagrams.
- The change to the committee structure, in particular to the Executive Management Board as the overarching committee with responsibility for governance and risk and the Quality Board for assurance on quality and safety.
- References to Foundation Trust status have been removed for this version.
- Updated reference to CQC registration and associated systems for ongoing compliance
- Amendments required for meeting the NHS LA criteria regarding content of risk management training and monitoring.
- To achieve a leaner document with the exclusion of all the Terms of Reference of the sub committees other than the overarching EMB, as these will now be accessible via the corporate section of the Trust intranet.

Date: 15 September 2011