

Report to Trust Board Date 27.1.10 Agenda item no 8.1

| Title | Monthly Board Self-Certification Return | | | | | | | | | | | | | | |
|---|--|--|--|---|---|---|-------------------|---|--|-------------------|---|--|------------------------|---|--|
| Author | Director of Finance & Performance | | | | | | | | | | | | | | |
| Responsible Director | Director of Finance & Performance | | | | | | | | | | | | | | |
| Classification | Strategy/ Decision / Ratification/ Assurance/Information | | | | | | | | | | | | | | |
| Purpose | Board sign-off of declaration required prior to submission, mirroring Monitor's expectations. | | | | | | | | | | | | | | |
| Action required | To review the reported performance for December 2009 and agree that declaration 2 should be signed on behalf of the Board. | | | | | | | | | | | | | | |
| Impact assessment | <p>The self-certification approach being adopted by the Strategic Health Authority mirrors Monitor's requirements for Foundation Trust Boards to self-certify their performance against a range of financial and non-financial standards.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%; text-align: center;">Y</th> <th style="width: 10%; text-align: center;">N</th> </tr> </thead> <tbody> <tr> <td>Describes a lapse</td> <td style="text-align: center;">Y</td> <td></td> </tr> <tr> <td>Provides evidence</td> <td style="text-align: center;">Y</td> <td></td> </tr> <tr> <td>Demonstrates Assurance</td> <td style="text-align: center;">Y</td> <td></td> </tr> </tbody> </table> | | | | Y | N | Describes a lapse | Y | | Provides evidence | Y | | Demonstrates Assurance | Y | |
| | | | | Y | N | | | | | | | | | | |
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| Provides evidence | | | | Y | | | | | | | | | | | |
| Demonstrates Assurance | | | | Y | | | | | | | | | | | |
| <ul style="list-style-type: none"> - quality - financial - business - equality/diversity - risk - legal/statutory | | | | | | | | | | | | | | | |
| Relevant Standard for Better Health: C04c | | | | | | | | | | | | | | | |
| Conformity to previous decision/ policy | Quarterly returns submitted in 2007/08; monthly returns made during 2008/09 and continued into 2009/10. | | | | | | | | | | | | | | |
| Executive Summary: | <p>The attached Governance Declarations and Financial and Non-Financial Risk Rating returns detail performance against the relevant indicators for December 2009.</p> <p>The Financial Risk Rating is 2, a Red rating. Although the financial position has continued to improve, in line with the financial recovery plan, and at the end of December remained ahead of the recovery trajectory position for nine months of the year, there is still a deficit and the revised year-end target is for a much smaller surplus than the original plan for the year. On the basis of the year-to-date reported position, the forecast at the year end is also rated as 2. The internal Turnaround Team is continuing to lead and monitor the recovery process to ensure that a surplus is delivered</p> | | | | | | | | | | | | | | |

at 31 March 2010.

There were breaches in November 2009 against 3 of the non-financial ratings. These were in respect of the A&E 4-hour access target, screening of elective patients for MRSA and decontamination. The A&E position has been subject to a formal Performance Notice from the PCT and a recovery action plan has been submitted within the SLA timescales for responding to a formal notice. However, A&E performance has continued to be under pressure in January 2010, principally because of a lack of beds, with wards closed to admission because of norovirus-like illness.

The overall score for these 3 breaches was 1.4, which is an Amber rating.

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