

## Report to Trust Board

Date: 23 November 2011

Agenda item no 8.1

Title: **Monthly Board Self-Certification Return**

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Responsible Director: Don Richards, Director of Finance & Performance

Purpose: ~~Strategy/ Decision / Ratification/ Assurance/Information~~  
*Delete as appropriate*

Action required:

1. Review the reported performance for **October** 2011
2. Agree that Declaration 1 be signed in respect of **October** performance and submit to the Strategic Health Authority by the end of the month

### Executive Summary:

The SHA Provider Management Regime return comprises the Governance Declaration, Governance Risk Rating, Financial Risk Rating, Financial Risk Triggers, Contractual Risk Rating, Quality indicators and an additional Board declaration.

The attached return details performance against the SHA's indicator set for **October** 2011.

- Two governance indicators were not achieved in **October**:
  1. **A&E indicators** – failed one area out of five, which was related to unplanned reattendances. Please note that the DH has advised that it will not be counting the performance against the new indicators other than those for the 95<sup>th</sup> percentile (i.e. in 95% of cases patients were (a) initially assessed within 15 minutes of their arrival in the department and (b) overall they spent less than 4 hours in the A&E department)
  2. **Stroke indicators** – failed the TIA (Transient Ischaemic Attack) target. Two TIA referrals were received in October. However, as one was received by post instead of fax, one patient was seen at BHT outside of the required 24 hour window from onset of symptoms

The position recorded therefore results in a Green-Amber rating.

- The Trust reported an income and expenditure deficit of £1.0m, against a plan for the period to the end of **October** 2011 of £0.3m. The Trust achieved an overall financial risk score of 3, the same as achieved in August and September.
- Three risk indicators are reported as Red on the Financial Risk Trigger return this month, including proportion of debtors over 90 days old and capital spend as a proportion of plan.
- The Trust reported an Amber rating for Contractual Risks as one associate PCT contract remains unsigned. This is due to a commissioning administrative delay.

Given this level of performance, it is requested that Declaration 1 be signed.

The Quality return also looks at in-month performance against 16 quality indicators, the majority of which are reported through the Performance and Quality Board reports. Please note that these indicators are not RAG rated on this return and are not referred to in the Governance declaration.

The final page of the return is a list of statements. For each statement, the Board is asked to confirm compliance or otherwise. Statement 10 relates to achievement of level 2 against the requirements of the Department of Health's Information Governance Toolkit. BHT has not achieved this currently.

Relevant CQC standard/ NHS Constitution pledge	Pledge 6 We will spend tax payers' money carefully. Essential standard 14 Assessing and monitoring the quality of service provision
Link to strategy/plans	Good financial performance, delivery of high quality services and management of risk are elements of the Trust's Integrated Business Plan and objectives for the year.
Impact assessment:	
- quality	Governance risk ratings indicate quality through measurement of service performance
- financial/business	Financial risk ratings demonstrate monthly financial performance and risks to achieving plan
- equality/diversity	N/A
- risk	The ratings templates and Board declaration provide evidence of risk being managed
- legal/statutory	SHA requires monthly returns as part of Provider Management regime
- sustainability	N/A
Previous consultation / decision / discussion	Quarterly returns made in 2007/08; monthly submission made in 2008/09, 2009/10 and 2010/11; monthly monitoring continuing in 2011/12